



Interactive Data's Corporate Actions Service

Enhanced global corporate actions service provides data in a consistent format, with timely, flexible delivery – designed to help firms manage risk exposure across the enterprise.

Timely delivery of corporate actions data is essential to help financial firms support trading operations and to keep clients informed of pending events that may affect their decisions. Failure to properly handle even a single complex corporate action can result in significant reputational damage and exposure to financial risk.

Interactive Data's Corporate Actions Service provides comprehensive and timely global content with exceptional depth of data. A choice of interfaces delivers the content via an innovative, consistent data model. The reengineered, innovative platform is designed to streamline client integration, enabling clients to easily import, track, and process corporate actions.

■ Benefits

- Integrated content—delivered in a consistent format across all instruments and corporate actions announcements
- Timeliness—intra-day delivery available every hour of the business day
- Ease of navigation—allows the user to select attributes of corporate actions data for specific business functions
- Flexible delivery—the same XML schema is used across a choice of flexible delivery options: scheduled batch delivery, message-based, and request/retrieve Web service API
- Features to show the audit trail—key for operational risk management

■ High Performance Interfaces

Interactive Data offers a choice of access to its feature-rich Corporate Actions Service using state-of-the-art technology. Whether you are processing end-of-day client notifications or intra-day fund accounting, Interactive Data can provide you the information when and how you need it.

- Scheduled file-based delivery—user-controlled definition of content delivery in daily or intra-day XML files (including delivery service for specific securities or universal coverage)
- Request/retrieve access—on-demand API-based access that allows applications to request specific content sets
- Message-based publishing—event-based notification of new events with associated updates

With its new message-based publishing option, Interactive Data can now provide corporate actions messages throughout the trading day.

This new dynamic method of data capture can help firms reduce the communication gap with corporate actions data.

Through the on-demand request/retrieve service option, clients can now request information they need to populate the various product templates and applications that support their operational and trading staff.

■ Service Options

Interactive Data understands the challenges of maintaining data across an organization. Many clients employ data warehousing and downstream applications to manage the data capture and update process. Interactive Data is a valued provider of data for a large number of global third party vendors, including corporate actions processing systems, trust and accounting providers and enterprise data management (EDM) suppliers.

The Corporate Actions Service allows clients to choose the types of data and security coverage they wish to receive. Clients can select subsets based on various criteria including:

- Geography—select all global markets or Americas, Europe or Asia Pacific markets
- Event coverage—select to receive events for a chosen geographic region or filter by event category
- Delivery time and format—select end-of-day per region or intra-day updates. Choose from XML files or delimited formats
- Portfolio tracking—content can also be filtered on a security-specific or portfolio basis

■ Comprehensive Coverage

Interactive Data's Corporate Actions Service combines corporate actions, dividends, and capital events information from across Interactive Data's service offerings. Coverage includes:

- Approximately 65,000 companies operating in over 90 countries
- Intra-day notification of corporate actions announcements and subsequent updates
- Cross-referencing support across industry identification standards including (but not limited to) CUSIP®, ISIN, and SEDOL®.

Securities/Instruments

- Common and preferred stocks
- American and global Depositary Receipts (ADRs/GDRs)
- Unit trusts, investment trusts, mutual funds, exchange-traded funds
- Warrants
- Debentures/Notes
- Government agency/Government-sponsored enterprise (GSE) securities
- Bonds, notes, bills
- Corporate bonds, municipal bonds, mortgage-backed securities (MBS)

Event Types

- **Earnings**
- **Capital Changes:** Bonus issue, consolidation, enfranchisement, distribution, forward stock split, par value change, recapitalization, redenomination, renominalization, reverse stock split, rights offering, unit split, spin offs
- **Dividends:** Cash dividend, disbursement, dividend waived, return of capital, scrip dividend, stock dividends
- **Information Announcements:** Bankruptcy, default, liquidation, name change, new offer, poison pill
- **Mergers and Acquisitions:** Merger, merger election, offer to buy, takeover
- **Payments:** Cash payment, interest payment, payment waived, return of debt, scrip payment
- **Redemptions:** Call, escrow to maturity, fixed redemption, full call, partial call, partial pre-refunded, pre-refunded, repayment, sinking fund redemption
- **Repurchase:** Buyback, consent, consent tender, conversion, exchange, exercise, odd lot tender, partial put/retainment option, put mandatory, put optional, relinquishment option, retainment option, tender offer
- **Shareholder Meetings:** Shareholder meetings, annual general meetings, extraordinary meetings

■ Experience

Interactive Data has decades of experience gathering, updating, validating and delivering corporate actions data, covering multiple markets and instrument types. Interactive Data works closely with its clients to help meet their needs and always aims to be at the forefront of the industry, leading the way in integrating industry standards to deliver value-added data that is richer and easier to manage than traditionally-coded datafeeds.

Interactive Data was recognized as 'Best Corporate Actions Data Provider' at the Inside Market Data / Inside Reference Data Awards in 2008 and 2009, and 'Best Corporate Actions and Reference Data Provider' at the (UK) Systems in the City Awards 2009.

About Interactive Data

Interactive Data Corporation is a trusted leader in financial information. Thousands of financial institutions and active traders, as well as hundreds of software and service providers, subscribe to our fixed income evaluations, reference data, real-time market data, trading infrastructure services, fixed income analytics, desktop solutions and web-based solutions. Interactive Data's offerings support clients around the world with mission-critical functions, including portfolio valuation, regulatory compliance, risk management, electronic trading and wealth management. Interactive Data is headquartered in Bedford, Massachusetts and has over 2,400 employees in offices worldwide.

For more information, please visit www.interactivedata.com.

Interactive Data's Pricing and Reference Data business provides global securities pricing, evaluations and reference data designed to support financial institutions' and investment funds' pricing activities, securities operations, research and portfolio management. Interactive Data collects, edits, maintains and delivers data on more than 7 million securities, including daily evaluations for approximately 2.8 million fixed income and international equity issues. Interactive Data specializes in 'hard-to-get' information and evaluates many 'hard-to-value' instruments. Pricing, evaluations and reference data are provided in the U.S. through Interactive Data Pricing and Reference Data, Inc. and internationally through Interactive Data (Europe) Ltd. and Interactive Data (Australia) Pty Ltd.

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Interactive Data Pricing and Reference Data, Inc.

32 Crosby Drive
Bedford, MA 01730
Tel: 781 687 8800
Fax: 781 687 8289
email: info@interactivedata.com

One Beacon Street, 2nd Floor
Boston, MA 02108
Tel: 617 426 0400
Fax: 617 423 2230

100 William Street, 17th Floor
New York, New York 10038
Tel: 212 269 6300
Fax: 212 771 6987

2 North LaSalle Street, Suite 1807
Chicago, Illinois 60602
Tel: 312 641 1528
Fax: 312 641 6399

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