

# PrimePortal

for Wealth Management

Quality and efficiency in investment advisory services

TecDAX 7  
CGAX 6.  
EUROST  
FTSE 10  
SMI 6.280,95 -51,33 -0,81% 16:51:01  
ATX 2.373,85 -18,55 -0,78% 16:51:01  
DOW JONES 10.277,12 -89,60 -0,86% 16:51:02  
S&P 500 1.085,35  
NASDAQ 100 1.837  
NIKKEI 225 9.685,5



→ Wealth Management

# Enhancing the quality of investment advice in wealth management

Although the wealth management sector continues to expand it stands exposed to rising market pressures from growing client expectations, complex regulatory issues and increasing competition. Customised web-based financial information systems can assist in successfully confronting these trends and helping to strengthen competitive position.

Financial institutions aim to increase the level of satisfaction of their high net worth clients by providing greater transparency and adopting a comprehensive wealth management approach.

PrimePortal applications from Interactive Data support this objective, enabling banks to better differentiate themselves in the marketplace. PrimePortal applications can be customised to help meet client requirements and can also be integrated into existing IT infrastructures, to allow the mapping of client-specific advisory, investment and reporting processes.

Interactive Data can help customers in deploying a broad range of wealth management approaches and in covering a large variety of communications channels. Both advisors and clients can, for example, access the same web-based reports, illustrated by visually appealing graphics. Investors can define personalised views on the investment advisory portal, complete with real-time portfolio performance details and extensive background information on strategic and tactical asset allocation.

A PrimePortal solution from Interactive Data can also help to reduce the total cost of ownership for wealth management applications, through additional services such as hosting, application support, user monitoring, reporting as well as internal and external billing.

## Success factors in wealth management

### Relevant information combined in one comprehensive solution

Customised portals can offer more than wide-ranging global financial market data, proprietary products and sales information. They also facilitate the integration of this information into existing banking system architectures such as client relationship management systems or portfolio management solutions. All relevant information is available in one single comprehensive solution for the benefit of advisors. This can help to enhance advisory and investment workflows, leading to increased efficiency.

## Supporting visualisation and interactivity

PrimePortal financial market information becomes more than market data. Through user-friendly, appealing visualisation and the wide selection of analysis tools available, PrimePortal solutions give meaning to financial data. The large amount of information is presented in the relevant context, supporting the user in keeping an overview on the market developments. Interactive Data uses innovative Web technologies and interactive features to enhance the user experience.

## Workflow support and compliant documentation

Product information can be generated from statistical and current data at the press of a button. This allows market trends to be communicated powerfully to clients conforming to applicable regulations. In addition, PrimePortal solutions offer features for clear documentation of the advisory process and client reporting. Internet and intranet views can also be interlinked. New regulatory guidelines governing the provision of investment product information to clients and documentation of the advisory process can be complied with quickly and efficiently.



# Your financial information system: comprehensive and customised

Interactive Data develops customised PrimePortal solutions based on web-based software modules, a wide range of content and customer-specific requirements

In order to deploy customised PrimePortal solutions rapidly and cost-effectively, Interactive Data uses an open market data platform as a technical foundation. This platform draws from numerous sources to compile market data on different security classes and distributes it as standardised data to financial information systems. The platform collects real-time data as well as historical and statistical information and allows the combination of various kinds of data.

A broad range of functionalities are available via a series of software modules. Applications can be built by picking and choosing from these modules. In all cases, the design of the user interface, the choice of data provided and the integration into existing system architectures are driven by individual client requirements.

## Securities Suites

Basic functionalities such as the display of master data and key figures are bundled into asset class-specific suites. These suites supplement the delivery of real-time, delayed or end-of-day quotes and news, with the addition of powerful search functionalities. Clients can specify the depth of data to be provided and the sources of information to be used.

- Equity Suite
- Bonds Suite
- Funds Suite
- Retail Derivatives Suite
- Options & Futures Suite
- Commodities Suite

These suites can be combined with service tools such as financial planners or calculators and professional analysis tools.



## Portfolio

The pivotal element in wealth management is the investor portfolio. The portfolio solution module from Interactive Data provides functionalities to analyse and monitor securities portfolios. It offers comprehensive reports and performance charts, visualisation of portfolio structure as well as risk figures. Investment advisory workflows and client reporting are facilitated by commentary functions and the possibility to include custom ratings and benchmarks.

## Alerting

Alerting functions complement the portfolio solution. Financial advisors and clients are automatically informed of specified events via SMS, e-mail or online notification. This allows them to react faster and facilitates the implementation of investment strategies.



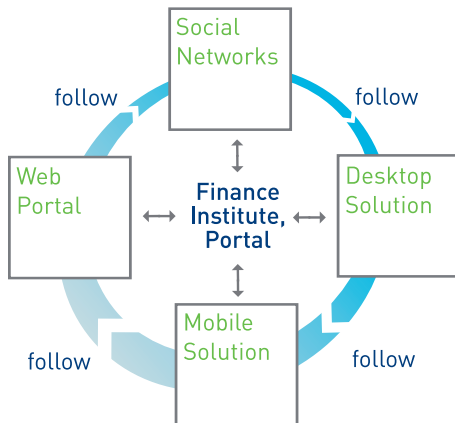
## Contribution Suite

The Contribution Suite allows the seamless integration of in-house information such as news, reports, research results, risk classifications and recommendations. Information can be combined with available market data and detailed securities-related information. Investment advisors and clients can access up-to-date key product information sheets or research information directly on the Web or in PDF documents.



## Successfully deploy mobile and multi-channel strategies

Comprehensive, multi-channel solutions can be deployed with PrimePortal. Provide your employees and customers with access to financial information while they are on the move, through specifically designed systems for mobile devices. Interactive Data's market data platform is designed to function as a central information hub distributing data to various devices and customised applications.



## Three steps to a PrimePortal solution

Interactive Data offers assistance with each step towards a customised wealth management solution, and operates and maintains the financial information system.

### ■ Define

By working in close consultation with the client and offering advice on technically mapping their workflows, Interactive Data can help clients to define their financial information portal. Contents and features can be chosen to match the sales strategy and investment philosophy. Interactive Data continuously works to optimise its product offerings in order to meet the challenges of the dynamic financial markets and related regulatory requirements.

### ■ ■ Develop

Interactive Data develops a customised application for the client, adapting it to the specific IT infrastructure and, for example, linking it to the customer relationship management system or portfolio management application.

### ■ ■ ■ Operate

Interactive Data hosts the customer's financial information systems in its own computer centres, maintains their applications and continues to enhance them based on both client and market requirements. This allows clients to reduce infrastructure and operating costs. A multi-language customer support team is available to answer questions and resolve any issues.

## Extensive hosting and service offerings

The development of financial information systems requires expertise. Its' operation can place high demands on an organisation, along with the continual growth of data volumes and added technical complexity of any solution. By outsourcing application operations it is possible to reduce infrastructure and operational costs.

Interactive Data supports the operation of a PrimePortal solution by providing technical know-how and financial market expertise. Any issues that arise can be clarified rapidly and the solution can be continuously developed to meet new market conditions. Interactive Data's computer centres meet high standards of security and availability. Interactive Data's technical platform is equipped with the latest cloud-computing concepts, allowing for the maximum flexibility and reliability. In-house operating can also be offered in order to take the security standards defined by individual clients into account.

### Security and certification

Ensuring application security is of the utmost importance to Interactive Data, the company runs daily analysis of security reports and regular internal and external threat and vulnerability assessments. Interactive Data operates a high availability network infrastructure comprising layered and segmented security/firewall architecture. The security standards are subject to regular monitoring, including audits.

### Service

PrimePortal clients have access to multi-lingual first level support, All pending support queries can be reviewed through a web-based ticket system. The client support team can also be contacted directly on the phone

### Hosting Services

Interactive Data operates PrimePortal solutions for clients according to their individual requirements and based on service level agreements. Hosting services include basic packages for software licences, transfer volumes and bandwidth quotas. The systems are scalable to accommodate larger web solutions and automatically provide the desired capacities.

## Combining efficiency and quality in a PrimePortal solution

A wide range of financial institutions in Europe and America successfully use PrimePortal solutions from Interactive Data in the area of wealth management. Advantages of using PrimePortal include:

- Customised wealth management solutions that help successful differentiation in the market
- Integration into existing system architectures and mapping of individual workflows
- Easy to use applications with context-sensitive visualisation
- Extensive data coverage of the global financial markets
- Seamless integration of proprietary product and research information
- A host of features for portfolio monitoring and client reporting
- A wide offering of analysis tools and sophisticated search functionality
- Conforming with new regulatory guidelines
- Cost savings through the management of data access through to the user level
- Hosted solutions with tight control over security and availability standards



Contact us if you wish find out more about how a PrimePortal solution can assist your wealth management strategy



## About Interactive Data Corporation

Interactive Data Corporation is a trusted leader in financial information. Thousands of financial institutions and active traders, as well as hundreds of software and service providers, subscribe to our fixed income evaluations, reference data, real-time market data, trading infrastructure services, fixed income analytics, desktop solutions and web-based solutions. Interactive Data's offerings support clients around the world with mission-critical functions, including portfolio valuation, regulatory compliance, risk management, electronic trading and wealth management. Interactive Data is headquartered in Bedford, Massachusetts and has over 2,400 employees in offices worldwide.

For more information, please visit [www.interactivedata.com](http://www.interactivedata.com).

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